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7 UNITED STATES DISTRICT COURT
8 WESTERN DISTRICT OF WASHINGTON
9 AT TACOMA

10 JAMES STAPLES, et al.,

Case No. C07-5443RJB

11 Plaintiff,

12 v.

13 DEPARTMENT OF SOCIAL AND
14 HEALTH SERVICES, et al.,

ORDER VACATING
ORDER GRANTING
PRO HAC VICE STATUS
AND ORDER
REGARDING SEALING
OF DOCUMENTS

Defendants.

15 This matter comes before the court on the Order to Show Cause issued on September 2, 2008
16 (Dkt. 44) and on the response thereto (Dkt. 47).

17 For many, many years, states have limited the practice of law to members of the bar in order to
18 protect the public against rendition of legal services by unqualified persons. In the State of Washington,
19 this was accomplished by the State Bar Act, RCW 2.48, and the adoption of Rules of Professional
20 Conduct. The federal courts followed suit by adopting rules for admission to practice before the federal
21 courts of this district. *See* Western District of Washington Local Rule 2. As part of its duty to protect the
22 public against rendition of legal services by unqualified persons, the Western District of Washington has
23 relied upon Washington State statutes and rules, as is reflected throughout General Rule 2. Also as part of
24 its duty, this district has granted permission for lawyers admitted in other states to participate in particular
25 cases under certain requirements and restrictions. *See* Western District of Washington Local General Rule
26 2(d). As is reflected in General Rule 2(d), application for permission to participate in a particular case is
27 made by a filing with the clerk that sets forth certain information. The clerk approves or disapproves such
28 application, in the first instance. In this case, the clerk authorized the admission of Anne Sulton to

1 participate in this case, in spite of the fact that there were warning signs on Ms. Sulton's application that
2 her admission should have been questioned.

3 Western District of Washington Local General Rule 2(d) provides, in pertinent part, that "any
4 member of good standing of the bar of any court of the United States . . . and who neither resides nor
5 maintains an office for the practice of law in the Western District of Washington normally will be
6 permitted, upon application, and upon a showing of particular need, to appear and participate in a
7 particular case . . ." While the rule provides that such out-of-state bar members will normally be permitted
8 to appear and participate if the requirements are met, it follows that if the requirements are not met, they
9 would normally not be allowed to appear and participate. The requirements are: no residence in the
10 Western District of Washington; no maintenance of an office for the practice of law in the Western District
11 of Washington; and a showing of particular need. The record herein indicates that Ms. Sulton falls short
12 on all three requirements.

13 First, Ms. Sulton is clearly a "resident" of this district. In a May 2007 email, she indicated that she
14 resides in Olympia, WA, which is within this district. She is registered to vote in this district. She has a
15 Washington State Driver's License. She lives here between 10 and 20 percent of her time, in a home
16 owned by a family member. The Secretary of State's website (www.secstate.wa.gov/elections/faq2.aspx)
17 is instructive in this regard. One cannot register to vote in this state unless that person is a resident, and
18 once residence is established, it exists until a new residence is established. Further, absence from the state
19 on business does not affect the question of a person unless the right to vote has been claimed or exercised
20 elsewhere.

21 Of similar interest is the Washington Department of Revenue's website (www.dor.wa.gov), which
22 indicates that the State Department of Revenue presumes that a person is a resident of Washington if she is
23 registered to vote in this state, or if she has a Washington State Driver's License.

24 Whether one would be qualified for in-state tuition or where one's will might be probated on death,
25 are not relevant to the basic issue of residence.

26 Ms. Sulton offers no information that she has a residence other than in this district.

27 Second, Ms. Sulton appears to maintain a law office in this district. She is admitted to practice in
28 Wisconsin, and the Wisconsin State Bar Association Lawyer Directory indicates that her address is "Sulton

1 Law Offices" with a Post Office Box in Olympia, WA. Ms. Sulton is also admitted in Colorado and the
2 Colorado Supreme Court Lawyer Directory also indicates that the address of the Sulton Law Offices is in
3 Olympia, WA. The Findlaw.com directory goes one step further. It lists the Sulton Law Offices in
4 Olympia, WA, with the P.O. Box address and also refers to her office as "an Olympia, Washington
5 litigation and appeals law firm." The reference to Ms. Sulton's office being in Olympia, WA, appears on
6 various pleadings in various cases, and in news articles, and perhaps most importantly, in her pleadings
7 filed in this case. She recites her identification as Anne T. Sulton, Ph.D., J.D., Sulton Law Offices, P.O.
8 Box 2763, Olympia, WA, 98507, (360) 870-6000. Any reader who sees a reference to Sulton Law Offices
9 and an Olympia P.O. Box, and a Western Washington area code, would assume that the occupant of those
10 offices was admitted to practice, and practicing law, in Washington State.

11 Ms. Sulton is not admitted to practice in Washington State.

12 Third, in her application for leave to appear *pro hac vice* (Dkt. 10), she listed, in response to the
13 question, "The particular need for my appearance and participation is:" "I am appearing as lead counsel."
14 That is hardly a sufficient showing of a particular need to appear and participate. In her Response to the
15 Order to Show Cause (Dkt. 47), Ms. Sulton states, at page 6, "I believe I am the only person in the nation
16 experienced in employment discrimination litigation and familiar with the complex criminal/juvenile justice
17 issues that are inextricably intertwined with the plaintiff's employment discrimination claims." That
18 somewhat grandiose statement does not explain how such complex issues are inextricably intertwined with
19 plaintiff's claims. A review of the complaint indicates that this appears to be a somewhat routine
20 employment discrimination and retaliation case. Even with the additional information Ms. Sulton provides,
21 she has not shown a particular need to appear in this case.

22 The court does not wish to make any findings here about possible violation of the Washington
23 Rules of Professional Conduct. A review of RPC 5.5, however, raises substantial issues. In particular, *see*
24 RPC 5.5(b)(1) & (2), and Comment 4.

25 This court is not unsympathetic with individual who practices law or engages in other businesses or
26 professions that are national - or international - in scope, where the individuals do not maintain a single
27 office and reside wherever they happen to be, so long as a computer terminal is available. Regardless of
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1 such trends, states - and this state - license lawyers, and courts - including this court - must supervise
2 lawyers to protect the public.

3 It appears that for all of the foregoing reasons, the Order Admitting Anne Sulton as *pro hac vice*
4 attorney for plaintiffs herein should be vacated.

5 Pursuant to the General Order of the Court Regarding Public Access to Electronic Case Files (filed
6 5/29/03), the parties are to redact the following information from documents and exhibits before filing:
7 Dates of Birth - redact to the year of birth; Names of Minor Children - redact to the initials; Social Security
8 Numbers - redact to the last four digits; Financial Accounting Information - redact to the last four digits.
9 The General Order was issued pursuant to the official policy on privacy adopted by the Judicial Conference
10 of the United States and can be found on the court's website (<http://www.wawd.uscourts.gov>). *See also*
11 Fed. R. Civ. P. 5.2.

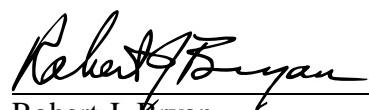
12 The exhibit accompanying the Declaration of Kathryn M. Battuello (Dkt. 41), contains information
13 that must be redacted from public documents. In order to restrict access to the private and confidential
14 information that has made its way into the record in an expeditious manner, the clerk of court is directed
15 hereby to seal Docket No. 41. The parties are directed to promptly review all previously filed documents
16 to insure that they do not contain additional information that should have been redacted. The parties shall
17 make every effort to avoid such public disclosures of confidential information in the future.

18 As a result of the filing of Docket No. 41, a portion of the record is now sealed, which is
19 inconsistent with the public's right of access. The defendants are therefore ordered to file, within 10 days
20 of the date of this order, a redacted version of Docket No. 41.

21 **IT IS SO ORDERED.**

22 The Clerk is directed to send uncertified copies of this Order to all counsel of record and to any
23 party appearing *pro se* at said party's last known address.

24 DATED this 9th day of September, 2008.

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27 Robert J. Bryan
United States District Judge
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